

Codebook for “Reassessing the Fulfillment of Alliance Commitments in War”

Updated March 26, 2018

- WARID: War number from the Interstate War Data (IWD), version 1.1. Available at <http://journals.sagepub.com/doi/full/10.1177/2053168016683840>.
- ATOPID: Alliance identification number from the Alliance Treaty Obligations and Provisions data project, version 3.0. Data available at: <http://atop.rice.edu>.
- COWWARID: War number from the Correlates of War (COW) data, included in the IWD data for comparison.
- WARNAME: War name according to IWD.
- COWID: COW alliance ID included in the ATOP data and codesheets for comparison.
- MULTILATERAL: Dichotomous variable indicating more than two alliance members are party to an alliance.
- SABHON: For multilateral alliances, coded 1 if at least one member of an alliance honors the alliance commitment and no members of the alliance fight against an ally.
- SABVIO: For multilateral alliances, coded 1 if no members of a multilateral alliance honor their alliance commitment or if any alliance member violates its commitment by fighting against an ally.

The following have two versions of each variable in our dataset, one indexed by “LLM” and one indexed by “BF”. The “LLM” version is based on the primary coding in which we replicate LLM’s procedures as closely as possible. The “BF” version draws from a slightly different coding scheme discussed in the appendix.¹

- NONAGG: Alliance is a nonaggression pact and allies did not fight one another in war.
- CONSUL: Alliance is a consultation pact.
- CFNA: Casus foederis of alliance not met in war.
- DNA: Not a case of alliance performance in war, and excluded for analysis of alliance fulfillment. Combination of last three categories (NONAGG, CONSUL, CFNA) and based on original coding scheme of LLM.

¹For more detail on the differences between these codings see supplemental appendix.

- HONOR: Alliance commitment honored when invoked in war. An alliance commitment is honored when allies fight together in a war as promised in an offense or defense pact, when allies remain neutral as promised, or when allies go above and beyond a neutrality commitment and fight together in war.
- VIOLATE: Alliance commitment violated when invoked in war. An alliance commitment is violated when allies fight against each other in an offense, defense, neutrality, or nonaggression pact, or when states do not come to the assistance of their allies as promised in an offense or defense pact.
- SABROSKYHONOR: Combines HONOR and SABHON to create a variable to assess fulfillment rates with less stringent standard for fulfilling a multilateral alliance. Coded 1 if HONOR is 1 or if SABHON is 1 such that both bilateral and multilateral alliances are assessed, and multilateral alliances are considered with a more lenient framework permitting at least one ally to assist to be coded as honored.